

PART B - FEE(S) TRANSMITTAL



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 1111 PENNSYLVANIA AVENUE NW
 WASHINGTON, DC 20004

07/02/2007 CCHAU2 00000053 500310 09679813

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Daniel H. Golub (Depositor's name)
[Signature] (Signature)
 June 27, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/679,813	10/05/2000	Steven A. Lapierre	052144-5001	8794

TITLE OF INVENTION: SYSTEM AND METHOD FOR ELECTRONIC TRADING OF ASSETS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	08/23/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
AKINTOLA, OLABODE	3691	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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 2
 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Novaplex Technologies, Inc.

Laryhorne, PA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
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5. Change In Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date

Typed or printed name

Registration No.

[Signature]
Daniel H. Golub

June 27/2007
33,701

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attorney Docket No. 052144-5001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Where application of: Steven A. Lapierre

Serial No.: 09/679,813

Group No.: 3691

Filed: October 5, 2000

Examiner: Akintola, Olabode

For: System and Method for Electronic Trading of Assets

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF PUBLICATION AND ISSUE FEES (37 C.F.R. § 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.
2. Fee (37 C.F.R. §§ 1.18(a) and (b)):
Application status is:

<input checked="" type="checkbox"/> small business entity--fee	<u>Regular</u> <input checked="" type="checkbox"/> \$700.00	<u>Design</u> <input type="checkbox"/> \$235.00
<input type="checkbox"/> verified statement attached		
<input checked="" type="checkbox"/> small entity status asserted October 5, 2000		
<input type="checkbox"/> other than a small entity--fee	<input type="checkbox"/> \$1,400.00	<input type="checkbox"/> \$470.00
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Reg. No.: 33,701


SIGNATURE OF ATTORNEY

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type or print name of attorney
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1701 Market Street
Philadelphia, PA 19103-2921

Tel. No.: 215-963-5055

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

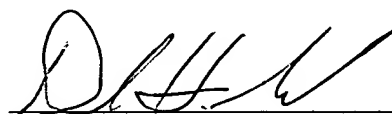
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Signature

Date: June 27, 2007

Daniel H. Golub
(type or print name of person certifying)